



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Timothy P. Farley et al.	)	Art Unit: 2132
Serial No. 09/844,447	)	Confirmation No. 9081
Filed: April 27, 2001	)	Examiner: Kambiz Zand
For: Method and System for Managing Computer Security Information	)	

REQUEST FOR AN INITIALED COPY OF THE PTO-1449 FORM  
FILED WITH THE INFORMATION DISCLOSURE STATEMENT  
OF APRIL 23, 2003

Commissioner for Patents  
Mail Stop Issue Fee  
Alexandria, VA 22313-1450

May 5, 2006

Sir:

The Applicants respectfully request Examiner Zand to consider and initial the attached PTO-1449 form (See Exhibit A) that was previously filed with the Information Disclosure Statement of April 23, 2003. Exhibit A is a copy of the second sheet of two PTO-1449 forms that were filed on April 23, 2003. The Applicants have received the first sheet of this PTO-1449 form.

As noted above, the IDS was filed on April 23, 2003 (See Exhibit B). The Applicants have also provided a post card receipt (See Exhibit C) that evidences the receipt of these documents by the U.S. Patent and Trademark Office on April 30, 2006.

In an Office Communication mailed November 19, 2004, Examiner Gurshman initialed and returned only the first sheet of the two PTO-1449 forms from the IDS (See Exhibit D).

Consideration and return of the initialed copy of the attached PTO-1449 form (Exhibit A) are respectfully requested. The Examiner is requested to return the initialed copy of the attached

I hereby certify that this correspondence is being facsimile transmitted to: Commissioner for Patents, Mail Stop Issue Fee, P. O. Box 1450, Alexandria, VA 22313-1450, GAU 2132, Attn: Examiner Kambiz Zand, Facsimile No. (517) 273-2885 on May 5, 2005.

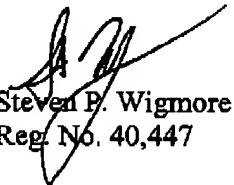
  
Steven P. Wigmore, Reg. No. 40,447

Serial No. 09/844,447

PTO-1449 form via facsimile to the undersigned. The undersigned's facsimile number is 404-572-5134.

If there are any other issues remaining in this application that may be resolved by a telephone conference, the Examiner is invited to contact the undersigned at the following number in the Atlanta Metropolitan Area: 404-572-2884.

Respectfully submitted,



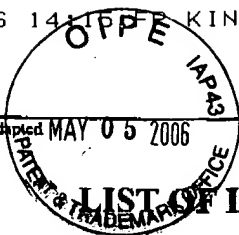
Steven P. Wigmore  
Reg. No. 40,447

King & Spalding LLP  
191 Peachtree Street  
Atlanta, Georgia 30303  
404.572.4600  
K&S Docket: 05456.105006

## EXHIBIT A

Sheet 2 of 2

FORM PTO-1449, Adapted MAY 05 2006



## LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO. <b>05456.105006</b>	SERIAL NO. <b>09/844,447</b>	FILING DATE <b>April 27, 2001</b>
APPLICANT <b>Farley et al.</b>		GROUP <b>2131</b>

**COPY**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	6,119,234	09/12/00	Aziz et al.			
	BB	5,606,668	02/25/97	Shwed			
	BC	5,835,726	11/10/98	Shwed et al.			
	BD	5,884,033	03/16/99	Duvall et al.			
	BE	5,964,839	10/12/99	Johnson et al.			
	BF	6,041,347	03/21/00	Harsham et al.			
	BG	6,061,795	05/09/00	Dircks et al.			
	BH	6,085,224	07/04/00	Wagner			
	BI						
	BJ						
	BK						
	BL						
	BM						
	BN						
	BO						

**COPY**

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Exhibit A



**EXHIBIT B**

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Farley et al.

Serial No.: 09/844,447

Filed: April 27, 2001

For: Method and System for Managing  
Computer Security Information

Art Unit: 2131

**COPY**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.


Respectfully submitted,

  
W. Scott Petty  
Reg. No. 35,645

**COPY**

King & Spalding LLP  
45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
Atlanta, GA 30303  
404.572.4600  
K&S Docket: 05456.105006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on April 23, 2003

  
W. Scott Petty, Reg. No. 35,645



## EXHIBIT C

# COPY

The U.S.P.T.O. official mailroom stamp affixed hereto acknowledges receipt of the items listed below.

Applicant	Farley et al.
Serial No.	09/844,447
Title	Method and System for Managing Computer Security Information
Papers Submitted:	Supplemental Information Disclosure Statement; PTO-1449 form (2 pgs.); twenty-nine (29) cited references; Return Postcard

Attorney	WSP/llo
Date Mailed	April 23 2003
Docketed	05456.103006
<b>DOCKETED</b>	
By: <i>UAG</i>	Date: <i>5-13-03</i>
Reviewed: <i>file</i>	Varied/Date:



# COPY

Exhibit C

## EXHIBIT D

Sheet 1 of 2

**U.S. PATENT AND TRADEMARK OFFICE**  
**APR 28 2003**  
**LIST OF INFORMATION DISCLOSED BY APPLICANT**  
*(Use several sheets if necessary)*

ATTY. DOCKET NO.		SERIAL NO.	FILING DATE			
05456.105006		09/844,447	April 27, 2001			
APPLICANT			GROUP			
Farley et al.			2132			

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA 5,857,191	01/05/99	Blackwell, Jr. et al.			
	AB 6,035,423	03/07/00	Hodges et al.			
	AC 5,586,260	12/17/96	Hu			
	AD 5,623,601	04/22/97	Vu			
	AE 5,764,887	06/09/98	Kells et al.			
	AF 5,787,177	07/28/98	Leppke			
	AG 5,796,942	08/18/98	Esbensen			
	AH 5,798,706	08/25/98	Kracauer et al.			
	AI 5,815,574	09/29/98	Fortinsky			
	AJ 5,828,833	10/27/98	Belville et al.			
	AK 5,832,208	11/03/98	Chen et al.			
	AL 5,832,211	11/03/98	Blakley, III et al.			
	AM 5,838,903	11/17/98	Blakely, III et al.			
	AN 5,864,665	01/26/99	Tran			
	AO 5,875,296	02/23/99	Shi et al.			
	AP 5,983,270	11/09/99	Abraham et al.			
	AQ 5,983,348	11/09/99	Ji			
	AR 5,987,606	11/16/99	Cirasole et al.			
	AS 5,987,611	11/16/99	Freund			
	AT 6,088,803	07/11/00	Tso et al.			
	AU 6,098,173	08/01/00	Elaressy et al.			

**RECEIVED**  
**APR 30 2003**  
**Technology Center 2100**

**Exhibit D**